# **Documentation Report**

Documentation of the

#### Summary Cognitive Performance and Functional Performance Measures Data File

in the Health and Retirement Study / Harmonized Cognitive Assessment Protocol Study

Product of the HRS/HCAP Working Group:

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#### I. HRS/HCAP: Overview and previous publications and documentation reports

The HRS/HCAP summary cognitive and functional measures data file contains processed HRS/HCAP data described in two manuscripts, one describing a factor analysis of HRS/HCAP cognitive performance items (Jones et al., 2023) and a second a dementia algorithm using HRS/HCAP and other data collected in HRS (Manly et al, 2022). The purpose of this document is to describe the context of the generation, and technical details regarding the contents, of the HRS/HCAP summary cognitive and functional measures data file.

#### A. Background and relevant resources

The aim of the Health and Retirement Study (HRS) Harmonized Cognitive Assessment (HCAP) project is to create a new HRS data resource to better assess the current and future trends in mild cognitive impairment (MCI) and dementia in the United States. The first HRS sub study on dementia, the Aging, Demographics, and Memory Study (ADAMS) provided the first estimates of dementia incidence and prevalence in the United States using a nationally representative sample. The new Harmonized Cognitive Assessment Protocol (HCAP) project builds on the experience and methods of the HRS and ADAMS for studying cognition, cognitive decline, and dementia. The main strategy is to administer an expanded battery of cognitive tests and informant interviews to a random subsample of HRS respondents aged 65 and older. The first wave of HRS/HCAP participants was fielded in 2016.

The following are key reports and publications that describe the US Health and Retirement Study Harmonized Cognitive Assessment Protocol (HRS/HCAP).

## 1. HRS/HCAP technical documentation

• Weir, D., McCammon, R., Ryan, L., & Langa, K. (2014). Cognitive test selection for the harmonized cognitive assessment protocol (Health and Retirement Study Users Guides to Study Content. http://hrsonline.isr.umich.edu/sitedocs/userg/HCAP\_testselection.pdf

## 2. Design paper (Langa et al 2020)

Langa, K. M., Ryan, L. H., McCammon, R. J., Jones, R. N., Manly, J. J., Levine, D. A., Sonnega, A., Farron, M., & Weir, D. R. (2020). The Health and Retirement Study Harmonized Cognitive Assessment Protocol Project: Study Design and Methods. Neuroepidemiology, 54(1), 64-74. https://doi.org/10.1159/000503004

## 3. Factor analysis of HCAP (Jones et al 2023)

Jones, R. N., Manly, J. J., Langa, K. M., Ryan, L. H., Levine, D. A., McCammon, R., & Weir, D. (2023). Factor structure of the harmonized cognitive assessment protocol neuropsychological battery in the Health and Retirement Study. Journal of the International Neuropsychological Society, in press. Preprint available at https://doi.org/10.31234/osf.io/rvmhj

#### 4. Dementia classification in HRS/HCAP (Manly et al 2022)

Manly, J. J., Jones, R. N., Langa, K. M., Ryan, L. H., Levine, D. A., McCammon, R., Heeringa, S., & Weir, D. (2022). The prevalence of dementia and mild cognitive impairment (MCI) in the United States. JAMA neurology, 79(12), 1242-1249.

https://doi.org/doi:10.1001/jamaneurol.2022.3543

## 5. Code repository for Manly et al (2022) and Jones et al (2023) manuscripts https://github.com/rnj0nes/HCAP22

A repository of computer code used in the Manly et al (2022) and Jones et al (2023) manuscripts is publicly available on GitHub.

## B. Other relevant data

The HRS/HCAP summary cognitive and functional measures data file contains data generated in the process of completing the Manly et al (2022) and Jones et al (2023) manuscripts (described above). Additionally, investigators may find HRS/HCAP relevant data in the following HRS data files:

HRS Data file	<b>Relevance to HRS/HCAP and URL</b>
Cross-Wave Tracker file	Eligibility, sociodemographics, weights https://hrsdata.isr.umich.edu/data-products/cross-wave-tracker-file
Core (2016)	Self-reported cognitive impairment, informant-rated cognitive impairment, medical and residential history. https://hrsdata.isr.umich.edu/data-products/2016-hrs-core
HRS/HCAP Data file	Raw neuropsychological and cognitive testing performance data <u>https://hrs.isr.umich.edu/data-products/hcap</u>

Table 1. Other HRS/HCAP relevant data available at hrsdata.isr.umich.edu/data-products

#### C. Workflow and variable sets

The workflow for the factor analysis (Jones et al, 2023) and dementia classification (Manly et al, 2022) products using HRS/HCAP data is illustrated in **Figure 1**. The HRS/HCAP projects involved data from different sources (claims, HRS Core, HRS/HCAP, etc.) and generated distinct sets of variables (colored boxes in Figure 1) throughout the factor analysis and dementia classification steps. These distinct generated variable sets are separately identified in the HRS/HCAP summary cognitive and functional measures data file.



Figure 1. Workflow for the HRS/HCAP summary cognitive and functional measures data file. The source data for the HRS/HCAP summary cognitive and functional measures data file and the Jones et al (2023) and Manly et al (2022) manuscripts include Medicare Claims, HRS Core data files from 2016 and 2018, the HRS/HCAP data file (2016), and the HRS Tracker file. We made seven key sets of variables, and users can access six of them in a single HRS/HCAP summary cognitive and functional measures data file (Figure 1, colored boxes). These six variable sets can be easily identified by their variable name. One of the generated variable sets -- the identification of a robust norms sample -- is not distributed in the HRS/HCAP summary cognitive and functional measures data file. The distributed data variable sets include informant and subjective cognitive impairment ratings, processed HRS/HCAP cognitive test performance data, domain scores for individual and global domains of function, adjusted domain scores, and dementia classification.

As described in Jones et al (2023) and associated technical documentation, raw HRS/HCAP cognitive test performance data were recoded for factor analysis. We share these processed cognitive performance data as variable set 6 (and variable prefix "vd" in the HRS/HCAP summary cognitive and functional measures data file). These processed cognitive performance data were analyzed with factor analysis methods as described in Jones et al (2023) and resulting from this are a set of factor or domain score estimates. These domain score estimates are distributed as variable sets 4 (domain specific) and 5 (global cognitive performance) and identified in the HRS/HCAP summary cognitive and functional measures data file with variable prefixes vs4 and vs5, respectively. Selected of these domain scores were used in the dementia classification algorithm, and those that were subjected to standardization, adjustment, and imputation procedures as described in Manly et al (2022). These adjusted, standardized, and imputed variables are distributed in variable set 2 and identified in the HRS/HCAP summary cognitive and functional measures data file with variable prefix vs2. The algorithm also makes use of self and informant rated cognitive impairment (also subjected to imputation) and these variables are distributed and described as variable set 3 and identified with variable prefix vs3 in the HRS/HCAP summary cognitive and functional measures data file. Finally, we describe the single three-level variable (normal, MCI, dementia) in variable set 1.

It is important to note that the algorithm makes use of a robust norms sample in the adjustment and standardization step (Manly et al, 2022). Our definition of the robust norms sample includes information derived from the Medicare claims data linked to HRS. These data are not available in the public use data files. Therefore, information on which of the HRS/HCAP participants were included in the HRS/HCAP dementia classification algorithm is only available through restricted access.

## II. Technical details

## A. Imputations

As is described in **Figure 1** and in **Table 2**, missing data were imputed for the purposes of estimating dementia prevalence as described in Manly et al (2022). Note: These imputations are distinct from the imputations done for the 2016 HCAP final release dataset. Missing values for cognitive performance data or informant data were singly imputed as Bayesian plausible values using Mplus software. The procedure now imputes missing cognitive performance scores and missing self- and informant ratings of impairment given observed cognitive performance scores and self- and informant ratings of impairment.

# B. Note about expected a posteriori (EAP) and Bayesian plausible value (PV) estimates of factor scores

We have generated factor score estimates as expected a posteriori (EAP) estimates and single draws from a Bayesian posterior distribution (Bayesian plausible values, PV). Both of these estimates were derived using Mplus software (version 8.8, Muthén & Muthén, Los Angeles CA)(Asparouhov, 2010; <u>https://www.statmodel.com/download/Plausible.pdf</u>). Factor scores are estimates of a latent variable. And latent variables are, by definition, not directly observable. Therefore, any estimate of that latent variable has some level of imprecision. In the context of categorical data item factor analysis (or item response theory), the imprecision is determined by the number of items used in the factor model, the strength of the correlation between the items

and the underlying factor, and the distribution of difficulty levels of the items. Factors with more items, items with strong relationships with the underlying factor, and many widely dispersed difficulty levels will have less imprecision than factors with only a few items with weak relationships with the underlying factor and coarse and skewed responses. If a factor is measured by all continuous indicators, imprecision is constant across the level of the latent trait. But if a factor is measured with at least one categorical indicator, imprecision will vary across the level of the latent trait, generally being higher at the extremes.

When we generate factor score estimates as plausible values, each person's score is a draw from the posterior distribution of their factor score estimate, which is determined by the level of imprecision of the factor score. These are analogous to imputations in multiple imputation. In fact, it might be desirable to use multiple plausible values generated for each participant as if they were multiply imputed values in a data analysis. If we were to take many draws from the posterior for each participant, and then compute the mean of each persons' plausible values - that mean would approach the EAP estimate we obtain for each person.

We recommend using plausible values (or multiple plausible values) in any circumstance where population-level parameter estimation and inference is desired. Use of EAP estimates in such circumstances is anti-conservative and may result in biased low standard errors in inflated type-I error levels. In some situations, such as descriptive analysis, or in a high-stakes decision making procedure (e.g., selecting participants for a module or sub-study) the EAP estimates would be preferable.

#### III. Data Files

The HRS/HCAP summary cognitive and functional measures data are provided in ASCII format, with fixed-length records, and "ready-to-use" files. Use the associated SAS, SPSS or Stata program statements to read the data into the analysis package of your choice.

The following extensions are used for the 2016 HCAP Final release files:

HC16HP\_F.da for the ASCII data file HC16HP\_F.sas for the SAS program statements HC16HP\_F.sas7bdat for "ready-to-use" SAS file HC16HP\_F.sps for the SPSS program statements HC16HP\_F.sav for "ready-to-use" SPSS file HC16HP\_F.do for the STATA do statements HC16HP\_F.dct for the STATA dictionary statements HC16HP\_F.dta for "ready-to-use" Stata file HC16HP\_F.txt for the codebook file

# III. Codebook

Below we describe the contents of the data file.

Variable	Description
hhid	HOUSEHOLD IDENTIFIER
	Missing values: 0/3496
	Distinct values: 3465
pn	PERSON NUMBER
	Missing values: 0/3496
	Distinct values: 10
r1hcapdx	HRS HCAP Dementia and MCI Classification (HRS HCAP
•	variable set 1)
	Missing values: 0/3496
	Distinct values: 3
	1: 2299 [Normal (1)]
	2: 804 [MCI (2)]
	3: 393 [Dementia (3)]
	<i>NOTE: This variable is also included in the 2016 HCAP</i>
	Respondent data set HC16HP_R.
vs2memsc	Memory score (HRS HCAP variable set 2)
	Missing values: 0/3496
	Distinct values: 3313
	Mean (SD): 46.821 (11.591)
	NOTE: This is a singly imputed, standardized and adjusted (for
	age, sex, race, ethnicity, and educational attainment), plausible
	value factor score estimate for the memory domain and used
	indirectly in the HRS/HCAP dementia algorithm by defining
	cognitive impairment criteria.
vs2exfsc	Executive function score (HRS HCAP variable set 2)
	Missing values: 0/3496
	Distinct values: 3314
	Mean (SD): 45.836 (11.734)
	NOTE: This is a singly imputed, standardized and adjusted (for
	age, sex, race, ethnicity, and educational attainment), plausible
	value factor score estimate for the executive functioning domain
	and used indirectly in the HRS/HCAP dementia algorithm by
	aejining cognitive impairment criteria.
vs2lflsc	Language and fluency score (HRS HCAP variable set 2)
	Missing values: 0/3496
	Distinct values: 3329
	Mean (SD): 47.711 (11.091)

Variable	Description
	NOTE: This is a singly imputed, standardized and adjusted (for age, sex, race, ethnicity, and educational attainment), plausible value factor score estimate for the language and fluency domain and used indirectly in the HRS/HCAP dementia algorithm by defining cognitive impairment criteria.
vs2vissc	Visuospatial score (HRS HCAP variable set 2) Missing values: 0/3496 Distinct values: 2330 Mean (SD): 47.523 (11.428) NOTE: This is a singly imputed, standardized and adjusted (for age, sex, race, ethnicity, and educational attainment), performance score on CERAD constructional praxis, and used indirectly in the HRS/HCAP dementia algorithm by defining cognitive impairment criteria.
vs2vdori1	<b>Orientation score (vdori1, HRS HCAP variable set 2)</b> Missing values: 0/3496 Distinct values: 145 Mean (SD): 9.256 (1.395) NOTE: This is the singly imputed sum score on the MMSE orientation questions (scored 0-10). Equivalent to vdoril other than for the imputation of missing values. This variable was indirectly used in the dementia algorithm by informing the missing data imputation model for the orientation impairment indicator.
vs2memimp	Memory impairment (HRS HCAP variable set 2) Missing values: 0/3496 Distinct values: 2 0: 2990 [Not impaired (0)] 1: 506 [Impaired (1)] NOTE: This is the impairment flag for v2memsc, on which scores of less than 35 are considered impaired. The impairment indicators were directly used in the dementia algorithm.
vs2exfimp	Executive function impairment (HRS HCAP variable set 2) Missing values: 0/3496 Distinct values: 2 0: 2958 [Not impaired (0)] 1: 538 [Impaired (1)] NOTE: This is the impairment flag for v2exfsc, on which scores of less than 35 are considered impaired. The impairment indicators were directly used in the dementia algorithm.

Variable	Description
vs2lflimp	Language and fluency impairment (HRS HCAP variable set
	2)
	Missing values: 0/3496
	Distinct values: 2
	0: 3065 [Not impaired (0)]
	1: 431 [Impaired (1)]
	NOTE: This is the impairment flag for v2lflsc. on which scores
	of less than 35 are considered impaired. The impairment
	indicators were directly used in the dementia algorithm.
vs2visimp	Visuospatial impairment (HRS HCAP variable set 2)
	Missing values: 0/3496
	Distinct values: 2
	0: 3051 [Not impaired (0)]
	1: 445 [Impaired (1)]
	NOTE: This is the impairment flag for v2vissc, on which scores
	of less than 35 are considered impaired. The impairment
	indicators were directly used in the dementia algorithm.
vs2orimp	<b>Orientation impairment (HRS HCAP variable set 2)</b>
÷	Missing values: 0/3496
	Distinct values: 2
	0: 2961 [Not impaired (0)]
	1: 535 [Impaired (1)]
	NOTE: This is the impairment flag for orientation, and is based
	on a singly imputed impairment indicator for the orientation
	score, where a score of 8 or less is considered impaired. This
	variable is not directly coded from vs2vdori1, and due to the
	imputation procedure for vs2vdori1 some apparent
	discrepancies are present.
vs3jormsc	Jorm score (HRS HCAP variable set 3)
	Missing values: 0/3496
	Distinct values: 458
	Mean (SD): 3.178 ( 0.552)
	NOTE: This is a singly imputed version of a core HCAP
	variable h1jormsc (Jorm IQCODE score), and used in the
	dementia algorithm indirectly in coding the informant-rated
	concern and informant-rated impairment indicators.
vs3blessedsc	Blessed score (HRS HCAP variable set 3)
	Missing values: 0/3496
	Distinct values: 240
	Mean (SD): 1.156 ( 1.611)

Variable	Description
	NOTE: This is a singly imputed version of a core HCAP
	algorithm indirectly in coding the informant rated concern and
	informant rated impairment indicators
	informant-ratea impairment matcators
vs3informant_concerns	Informant-rated concerns (HRS HCAP variable set 3)
	Missing values: 0/3496
	Distinct values: 2
	0: 1115 [No (0)]
	1: 2381 [Yes (1)]
vs3informant impairment	Informant-rated impairment (HRS HCAP variable set 3)
	Missing values: 0/3496
	Distinct values: 2
	0: 2523 [No (0)]
	1: 973 [Yes (1)]
	NOTE: This is an informant-rated impairment indicator
	variable based on the Jorm and Blessed, and used in the
	dementia algorithm.
vs3self concerns	Self-rated concerns (HRS HCAP variable set 3)
—	Missing values: 0/3496
	Distinct values: 2
	0: 2391 [No (0)]
	1: 1105 [Yes (1)]
	<i>NOTE: This is an indicator variable coded directly from pd102,</i>
	and is 1 if pd102 is 1 or 2, otherwise it is 0 (including missing
	on $pd102$ ). It is used directly in the dementia algorithm to
	identify participants with positive evidence of self-rated
	cognitive concerns.
vs/mam nv	Memory estimate $PV$ (HRS HCAP variable set $A$ )
vs+mem_pv	Missing values: 151/3/96
	Distinct values: 886
	$M_{\text{son}} (\text{SD}): 50,000 (10,000)$
	NOTE: This is the factor score estimate for the mem domain. It
	has been standardized to a mean of 50 and standard deviation
	of 10 in the HRS/HCAP sample.
vslovf ny	Exacutive function estimate DV (HDS HCAD veriable act 4)
vs4ex1_pv	Missing values: 153/3/06
	Disting values. 105/0490
	$M_{aam} (SD), 50,000 (10,000)$
	Niean (SD): 30.000 (10.000)

Variable	Description
	NOTE: This is the factor score estimate for the exf domain. It
	has been standardized to a mean of 50 and standard deviation
	of 10 in the HRS/HCAP sample.
vs4lfl pv	Language and fluency estimate, PF (HRS HCAP variable set
	4)
	Missing values: 149/3496
	Distinct values: 517
	Mean (SD): 50.000 (10.000)
	NOTE: This is the factor score estimate for the lfl domain. It has
	been standardized to a mean of 50 and standard deviation of 10
	in the HRS/HCAP sample.
vs4mem_eap	Memory estimate, EAP (HRS HCAP variable set 4)
	Missing values: 151/3496
	Distinct values: 816
	Mean (SD): 50.000 (10.000)
	NOTE: This is the factor score estimate for the mem domain. It
	has been standardized to a mean of 50 and standard deviation
	of 10 in the HRS/HCAP sample.
vs4mem se eap	Memory standard error, EAP (HRS HCAP variable set 4)
•	Missing values: 151/3496
	Distinct values: 54
	Mean (SD): 3.414 ( 0.344)
	NOTE: This is the estimated standard error for the factor score
	estimate for the mem domain.
vs4exf_eap	Executive function estimate, EAP (HRS HCAP variable set
	4)
	Missing values: 153/3496
	Distinct values: 676
	Mean (SD): 50.000 (10.000)
	NOTE: This is the factor score estimate for the exf domain. It
	has been standardized to a mean of 50 and standard deviation
	of 10 in the HRS/HCAP sample.
vs4exf_se_eap	Executive function standard error, EAP (HRS HCAP
	variable set 4)
	Missing values: 153/3496
	Distinct values: $55$
	Mean (SD): $2.899 (0.624)$
	NOIE: This is the estimated standard error for the factor score
	esiimale jor the exj aomain.

Variable	Description
vs4lfl_eap	Language and fluency estimate, EAP (HRS HCAP variable
	set 4)
	Missing values: 149/3496
	Distinct values: 423
	Mean (SD): 50.000 ( 10.000)
	NOTE: This is the factor score estimate for the lfl domain. It has
	been standardized to a mean of $50$ and standard deviation of $10$
	in the HRS/HCAP sample.
vs4lfl se eap	Language and fluency standard error, EAP (HRS HCAP
+	variable set 4)
	Missing values: 149/3496
	Distinct values: 45
	Mean (SD): 8.222 ( 0.753)
	NOTE: This is the estimated standard error for the factor score
	estimate for the lfl domain.
vs5ocn nv	Global cognitive performance, PV (HRS HCAP variable set
·segep_p	5)
	Missing values: 149/3496
	Distinct values: 809
	Mean (SD): 50.000 ( 10.000)
	( ) ( )
vs5gcp_eap	Global cognitive performance, EAP (HRS HCAP variable
vs5gcp_eap	Global cognitive performance, EAP (HRS HCAP variable set 5)
vs5gcp_eap	<b>Global cognitive performance, EAP (HRS HCAP variable set 5)</b> Missing values: 149/3496
vs5gcp_eap	<b>Global cognitive performance, EAP (HRS HCAP variable set 5)</b> Missing values: 149/3496 Distinct values: 741
vs5gcp_eap	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 (10.000)
vs5gcp_eap vdori1	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 (10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP
vs5gcp_eap vdori1	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 (10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6)
vs5gcp_eap vdori1	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 ( 10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6) Missing values: 156/3496
vs5gcp_eap vdori1	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 (10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6) Missing values: 156/3496 Distinct values: 11
vs5gcp_eap vdori1	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 ( 10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6) Missing values: 156/3496 Distinct values: 11 Mean (SD): 9.322 ( 1.349)
vs5gcp_eap vdori1	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 ( 10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6) Missing values: 156/3496 Distinct values: 11 Mean (SD): 9.322 ( 1.349) NOTE: Captures orientation to time and place using 10 items
vs5gcp_eap vdori1	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 ( 10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6) Missing values: 156/3496 Distinct values: 11 Mean (SD): 9.322 ( 1.349) NOTE: Captures orientation to time and place using 10 items from the MMSE. It is coded as the sum of the number of
vs5gcp_eap vdori1	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 ( 10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6) Missing values: 156/3496 Distinct values: 11 Mean (SD): 9.322 ( 1.349) NOTE: Captures orientation to time and place using 10 items from the MMSE. It is coded as the sum of the number of h1rmse1-h1rmse10 that have a value of 1, with 97, 98, and 99
vs5gcp_eap vdori1	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 (10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6) Missing values: 156/3496 Distinct values: 11 Mean (SD): 9.322 (1.349) NOTE: Captures orientation to time and place using 10 items from the MMSE. It is coded as the sum of the number of h1rmse1-h1rmse10 that have a value of 1, with 97, 98, and 99 responses treated as missing values. Persons who do not have at
vs5gcp_eap vdori1	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 (10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6) Missing values: 156/3496 Distinct values: 11 Mean (SD): 9.322 (1.349) NOTE: Captures orientation to time and place using 10 items from the MMSE. It is coded as the sum of the number of h1rmse1-h1rmse10 that have a value of 1, with 97, 98, and 99 responses treated as missing values. Persons who do not have at least 1 item in the list that has a response of 1 or 5 are treated
vs5gcp_eap vdori1	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 (10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6) Missing values: 156/3496 Distinct values: 11 Mean (SD): 9.322 (1.349) NOTE: Captures orientation to time and place using 10 items from the MMSE. It is coded as the sum of the number of h1rmse1-h1rmse10 that have a value of 1, with 97, 98, and 99 responses treated as missing values. Persons who do not have at least 1 item in the list that has a response of 1 or 5 are treated as missing.
vs5gcp_eap vdori1 vdori2	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 (10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6) Missing values: 156/3496 Distinct values: 11 Mean (SD): 9.322 (1.349) NOTE: Captures orientation to time and place using 10 items from the MMSE. It is coded as the sum of the number of h1rmse1-h1rmse10 that have a value of 1, with 97, 98, and 99 responses treated as missing values. Persons who do not have at least 1 item in the list that has a response of 1 or 5 are treated as missing. TICS name president correct (0,1) (HRS HCAP variable set
vs5gcp_eap vdori1 vdori2	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 (10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6) Missing values: 156/3496 Distinct values: 11 Mean (SD): 9.322 (1.349) NOTE: Captures orientation to time and place using 10 items from the MMSE. It is coded as the sum of the number of h1rmse1-h1rmse10 that have a value of 1, with 97, 98, and 99 responses treated as missing values. Persons who do not have at least 1 item in the list that has a response of 1 or 5 are treated as missing. TICS name president correct (0,1) (HRS HCAP variable set 6)
vs5gcp_eap vdori1 vdori2	Global cognitive performance, EAP (HRS HCAP variable set 5) Missing values: 149/3496 Distinct values: 741 Mean (SD): 50.000 (10.000) MMSE 10 items (number of correct, 0-10) (HRS HCAP variable set 6) Missing values: 156/3496 Distinct values: 11 Mean (SD): 9.322 (1.349) NOTE: Captures orientation to time and place using 10 items from the MMSE. It is coded as the sum of the number of h1rmse1-h1rmse10 that have a value of 1, with 97, 98, and 99 responses treated as missing values. Persons who do not have at least 1 item in the list that has a response of 1 or 5 are treated as missing. TICS name president correct (0,1) (HRS HCAP variable set 6) Missing values: 277/3496

Variable	Description
	0: 62
	1: 3157
	NOTE: Identifies whether the respondent can correctly identify
	the President. It is a simple recode of the TICS name the
	President item. Responses of 7, 8, 9 are treated as missing.
vdmie1	CERAD word list immediate sum of 3 trials (0-30) (HRS
	HCAP variable set 6)
	Missing values: 158/3496
	Distinct values: 31
	Mean (SD): 17.424 ( 5.229)
	NOTE: The sum of three learning trials on the CERAD 10 item
	word list. Coded values of 97, 98, and 99 are treated as missing
	values. Persons who do not have at least 1 item in the list that
	has a response between 0 and 10 are treated as missing.
vdmie2	MMSE 3 word recognition (0-3) (HRS HCAP variable set 6)
	Missing values: 162/3496
	Distinct values: 4
	0: 5
	1: 51
	2: 242
	3: 3036
	NOTE: Number of words immediately recalled on a 3 word list.
	It is the first registration trial of the MMSE. It is simply a
	recoded version of the original variable h1rmse11t1, with
	responses of 97, 98, 99 treated as missing.
vdmie3	Logical memory immediate (0-25) (HRS HCAP variable set
	6)
	Missing values: 190/3496
	Distinct values: 24
	Mean (SD): 9.833 ( 5.097)
	NOTE: The number correct on the WMS-IV Logical Memory I
	immediate story recall task. It is simply a renaming of
	h1rlmimmscore.
vdmie4	Brave man immediate (0-12) (HRS HCAP variable set 6)
	Missing values: 164/3496
	Distinct values: 13
	Mean (SD): 7.105 ( 2.437)
	NOTE: A renaming of h1rbmimmscore. No accommodation for
	missing or other non-response codes has been used.
vdmde1	CERAD word list delayed (0-10) (HRS HCAP variable set 6)

Variable	Description
	Missing values: 165/3496
	Distinct values: 11
	Mean (SD): 5.113 ( 2.653)
	NOTE: The number correct on the CERAD delayed 10 word
	recall task. It is simply a renaming of h1rwldelscore.
vdmde2	Logical memory delayed (0-25) (HRS HCAP variable set 6) Missing values: 387/3496 Distinct values: 25 Mean (SD): 7.340 (5.438) NOTE: The number correct on the WMS-IV Logical Memory I delayed story recall task. There are 25 story points to be recalled, and the source variable is the sum of these that are recalled. Essentially a renaming of h1rlmdelscore, but if the HRS/HCAP variable h1rlmdeltest has a value of 9 (imputed) the created variable is set to missing.
vdmde3	MMSE 3 word delayed recall (0-3) (HRS HCAP variable set 6)
	Missing values: 209/3496
	Distinct values: 4
	0: 87
	1: 217
	2: 765
	3: 2218
	NOTE: The number of words recalled after a delay on the MMSE 3 word list. It is simply a recoded version of the original variable h1rmse13, with responses of 97, 98, 99 treated as missing.
vdmde4	CERAD constructional praxis delayed (0-11) (HRS HCAP
	Missing values: 101/3/06
	Distinct values: 12
	Mean $(SD)$ : 5 807 ( 3 246)
	Weah (5D): 5.007 ( 5.240)
vdmde5	Brave man delayed score (0-12) (HRS HCAP variable set 6)
	Missing values: 164/3496
	Distinct values: 13
	Mean (SD): 4.983 ( 3.347)
	NOTE: Simply a renaming of h1rbmdelscore. No
	accommodation for missing or other non-response codes has
	been used.

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Variable	Description
	${B}$ is the number of seconds needed to complete the Trails B task, and 300 is the ceiling on the number of seconds allowed to complete the task. The resulting score is 0 when the participant took 300 seconds to complete the task (or did not complete the
	task in 300 seconds and was assigned a score of 300), and 1 when the task was completed in 0 seconds (unsurprisingly, we
	do not observe scores of 1). The direction of this log transformed score is such that higher scores (approaching 1) are better and indicate faster performance. Values on the source variable not between 0 and 300 are treated as missing. Note that the reverse transformation is $300^{(1-B)}$ where \$ is the log transformed, log-normalized complement number of seconds to complete the Trails B task.
vdexf3	Errors, Cancellation number of missed letters (HRS HCAP
	variable set 6)
	Missing values: 300/3496
	Distinct values: 41 Macri (SD): $5.061 (4.506)$
	NOTE: Simply a renaming of h lrlcmissed. The source item
	derives from the ELSA study. No accommodation for missing or
	other non-response codes has been used.
vdexf4	Errors, Cancellation number of incorrectly marked letters
	(HRS HCAP variable set
	Missing values: 299/3496
	Mean (SD): 0.178 (1.193)
	NOTE: Simply a renaming of h1rlcscincorr. The source item
	derives from the ELSA study. No accommodation for missing or
	other non-response codes has been used.
vdexf5	Errors, Symbol Digit Modalities Test (HRS HCAP variable
	set 6)
	Missing values: 324/3496
	Mean (SD): $1.469 (2.585)$
	NOTE: This item is simply a renaming of with the additional
	restriction that values in are not carried to vdexf5 if a missing
	value code (997, 998, 999). Note that according to the , the
	SDMT score is the number of correct pairings minus any
	mistakes or skips. This implies that information encoded in
	vdexis is also contained in the SDMT score. This lack of
	independence creates a logical dependency that will violate the

Variable	Description
	local independence assumption of factor analysis (and item
	response theory) models.
vdexf6	Errors, fluency (HRS HCAP variable set 6)
	Missing values: 175/3496
	Distinct values: 14
	Mean (SD): 0.817 (1.361)
	NOTE: A renaming of h1rafnumincorr. Also, if the checkpoint
	item h1rafincorr (IWER: DID YOU RECORD ANY
	INCORRECT NAMES?) has a value of 5 (no) then zero is
	imputed for the number of incorrection mentions. No
	accommodation for missing or other non-response codes has
	been used.
vdexf7	HRS Number Series (HRS HCAP variable set 6)
	Missing values: 727/3496
	Distinct values: 29
	Mean (SD): 522.448 ( 31.400)
	NOTE: According to the 2016 Harmonized Cognitive
	Assessment Protocol (HCAP) Study Protocol Summary, this
	item was developed for the HRS, this section evaluates
	Respondents ability for numeric reasoning by presenting a
	series of 6 individual series of numbers, where one or two
	numbers in the series is missing. The Respondent is asked to
	take as much time as s/he needs, with the help of scrap paper
	and a pencil, to identify the missing number/s. This test is a
	block-adaptive test. Respondents are given a set of three number
	series questions of varying difficulty to first complete. Based on
	the number of correct responses in this first set of three (score
	Range = 0 to 4), Respondents are then assigned to a second set
	of three questions, for which the afficulty level is based on the
	number correct on the first set. The TIRS uses two versions of the Number Series questions and respondents are assigned to
	the version that was not done in the previous wave. For HRS-
	HCAP Respondents were assigned to the Number Series that
	was not assigned in the 2016 Core interview. If a Respondent
	was not able to do the Number Series section in the 2016 Core
	interview (not able to do practice questions was too confused)
	then they were skipped out of this section. In creating vdexf7.
	missing codes (codes 996 and higher) on the source variable
	h1rnsscore are treated as missing.
vdasn1	Symbol Digit Modalities Test score (HRS HCAP variable set
, amphi	6)

Missing values: 328/3496

Variable	<b>Description</b> Distinct values: 68 Mean (SD): 32.493 (12.523) <i>NOTE: Simply a renaming of h1rsdmscore. No accommodation</i> <i>for missing or other non-response codes has been used. Note</i> <i>that according to the 2016 Harmonized Cognitive Assessment</i> <i>Protocol (HCAP) Study Protocol Summary, the SDMT score is</i> <i>the number of correct pairings minus any mistakes or skips. This</i> <i>will create logical dependency or local dependence with SDMT</i> <i>errors (in executive function domain).</i>
vdasp2	<b>Trails A (HRS HCAP variable set 6)</b> Missing values: 286/3496 Distinct values: 190 Mean (SD): 0.320 ( 0.085) <i>NOTE: This variable is the log-transformed and log-normalized</i> <i>time to complete Trails A, and is equal to</i> $1 - \frac{\log(T_A)}{\log(300)}$ , where {A}\$ is the number of seconds needed to complete the Trails A <i>task and 300 is the ceiling on the number of seconds allowed to</i>
	complete the task. The resulting score is 0 when the participant took 300 seconds to complete the task (or did not complete the task in 300 seconds and was assigned a score of 300), and 1 when the task was completed in 0 seconds (unsurprisingly, we do not observe scores of 1). The direction of this log transformed score is such that higher scores (approaching 1) are better and indicate faster performance. Missing codes (i.e., not between 0 and 300 on the source variables) are treated as missing.
vdasp3	MMSE spell world backwards (HRS HCAP variable set 6) Missing values: 320/3496 Distinct values: 6 0: 52 1: 87 2: 159 3: 338 4: 150 5: 2390 NOTE: The sum of 5 recorded responses to the MMSE spell
vdasp4	world backwards task, recored with five correct/incorrect indicators. Only correct responses are summed (code 1 on source variables). At least 1 of the five indicators must have a non-missing code (not missing or 96, 97, 98, 99) to get the 0-5 score on vdasp3. Backwards counting (HRS HCAP variable set 6)

Variable	Description
	Missing values: 196/3496
	Distinct values: 70
	Mean (SD): 29.309 (11.398)
	NOTE: According to the 2016 Harmonized Cognitive
	Assessment Protocol (HCAP) Study Protocol Summary this test
	assesses speed and attention and is derived from the Backward
	Count measure in the MIDUS Study. Respondents are asked to
	begin at 100 and to count backwards as fast as possible. They
	are given 30 seconds and the number they reach and number of
	errors are recorded.
vdasp5	Letter cancellation (HRS HCAP variable set 6)
-	Missing values: 299/3496
	Distinct values: 37
	Mean (SD): 14.775 ( 5.270)
	NOTE: According to the 2016 Harmonized Cognitive
	Assessment Protocol (HCAP) Study Protocol Summary this test
	has been included in ELSA and assesses attention and speed.
	Respondents are given a paper with a large grid of letters and
	are asked to scan the grid as quickly as possible in a minute and
	to cross out as many P and W letters as they can in that time.
	This variable vdasp5 is a renamed and otherwise unaltered
	version of h1rlcscore, the number of correctly crossed-out
	letters.
vdlfl1	<b>Category fluency (animals) (HRS HCAP variable set 6)</b>
	Missing values: 151/3496
	Distinct values: 41
	Mean (SD): 15.969 ( 6.570)
	NOTE: Simply a copied or renamed version of h1rafscore. No
	handling of missing response codes was implemented (none
	were observed).
vdlfl2	Naming 2 items HRS TICS scissors, cactus (HRS HCAP
	variable set 6)
	Missing values: 162/3496
	Distinct values: 3
	0: 21
	1:266
	2: 3047
	NOTE: This is the number of correct responses to the HRS TICS
	items name two objects (scisssor, cactus). Respondents must
	have at least 1 non-missing (not system missing, not 7, 8, 9) to
	get a score.

Variable	Description
vdlfl3	Naming 2 items MMSE (HRS HCAP variable set 6)
	Missing values: 158/3496
	Distinct values: 3
	0: 5
	1: 35
	2. 3298
	NOTE: This is the number of correct responses to the two
	MMSE name objects questions. Respondents must have at least
	I non missing (not system missing not 07, 08, 00) to get a
	1 non-missing (noi system missing, noi 97, 96, 99) to get u
	score.
vdlfl/	MMSE write a sentence (HRS HCAP variable set 6)
Vulli4	Missing values: 260/2106
	Disting values: 2
	$\begin{array}{c} \text{Distinct values. } 2 \\ 0, 212 \end{array}$
	0: 213
	NOTE: An indicator as to whether h1rmse21 is scored as
	correct (value 1). Missing codes (any value coded 96 or higher)
	are treated as missing.
vdlf15	MMSE road and follow command (HDS HCAD variable set
vuiiis	A A A A A A A A A A A A A A A A A A A
	U) Missing values: 172/2406
	Distinct volves: 2
	Distinct values: 2
	0: 129
	NOTE: An indicator as to whether h1rmse16 is scored as
	correct (value 1). Missing codes (any value coded 96 or higher)
	are treated as missing.
	10(( abject noming (UDS UCAD verticable set ()
vanio	Nigging values: 155/2406
	$\frac{1}{5}$
	Distinct values: 5
	0: /
	1: 12
	2: 102
	3: 698
	4: 2522
	NOTE: The number of correct responses to the three step
	command task in the MMSE. Respondents must have at least 1
	non-missing (not system missing, not 97, 98, 99) to get a score.